## Optical flow



16-385 Computer Vision Fall 2023, Lecture 18

## Overview of today's lecture

- Quick intro to vision for video.
- Optical flow.
- Constant flow.
- Horn-Schunck flow.


## Slide credits

Most of these slides were adapted from:

- Kris Kitani (16-385, Spring 2017).


## Computer vision for video

Optical flow used for feature tracking on a drone


## optical flow used for motion estimation in visual odometry


camera image



It was captured in a motion capture system, which is reason for the flickering lights.

## Optical flow

# Optical Flow 

## Problem Definition

Given two consecutive image frames, estimate the motion of each pixel

## Assumptions

## Brightness constancy

Small motion

# Optical Flow <br> (Problem definition) 


$I(x, y, t)$


$$
I\left(x, y, t^{\prime}\right)
$$

Estimate the motion
(flow) between these two consecutive images

How is this different from estimating a 2D transform?

# Key Assumptions <br> (unique to optical flow) 

## Color Constancy

(Brightness constancy for intensity images)
Implication: allows for pixel to pixel comparison (not image features)

## Small Motion <br> (pixels only move a little bit)

Implication: linearization of the brightness constancy constraint

## Approach


$I(x, y, t)$

$I\left(x, y, t^{\prime}\right)$

## Look for nearby pixels with the same color

(small motion)

(color constancy)

Assumption 1

## Brightness constancy

Scene point moving through image sequence


Assumption 1

## Brightness constancy

Scene point moving through image sequence


Assumption 1

## Brightness constancy

Scene point moving through image sequence


Assumption:Brightness of the point will remain the same

Assumption 1

## Brightness constancy

Scene point moving through image sequence


Assumption:Brightness of the point will remain the same

$$
I(x(t), y(t), t)=\underset{\text { constant }}{C}
$$

Assumption 2

## Small motion


$I(x, y, t)$
$I(x, y, t+\delta t)$

Assumption 2

## Small motion



## Assumption 2

## Small motion



Optical flow (velocities): $(u, v) \quad$ Displacement: $(\delta x, \delta y)=(u \delta t, v \delta t)$

Assumption 2

## Small motion



Optical flow (velocities): $(u, v) \quad$ Displacement: $(\delta x, \delta y)=(u \delta t, v \delta t)$
For a really small space-time step...
$I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$
... the brightness between two consecutive image frames is the same

These assumptions yield the ...

## Brightness Constancy Equation

$$
\frac{d I}{d t}=\frac{\partial I}{\partial x} \frac{d x}{d t}+\frac{\partial I}{\partial y} \frac{d y}{d t}+\frac{\partial I}{\partial t}=0
$$

Equation is not obvious. Where does this come from?

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

For small space-time step, brightness of a point is the same

# $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$ 

For small space-time step, brightness of a point is the same

## Insight:

If the time step is really small, we can linearize the intensity function
$I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$
f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
$$

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$
f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
$$

$$
I(x, y, t)+\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t=I(x, y, t) \underset{\substack{\text { assuming small } \\ \text { motion }}}{\substack{\text { mat }}}
$$

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$
f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
$$

partial derivative
$I(x, y, t)+\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\text { treed ponit }} \delta t=I(x, y, t) \quad \begin{aligned} & \text { assuming small } \\ & \text { motion }\end{aligned}$

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$
f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
$$

$$
\begin{array}{rlrl}
I(x, y, t)+\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =I(x, y, t) & \begin{array}{l}
\text { assuming small } \\
\text { motion }
\end{array} \\
\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =0 & & \text { cancel terms }
\end{array}
$$

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

$$
f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
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\begin{array}{rlrl}
I(x, y, t)+\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =I(x, y, t) & & \begin{array}{l}
\text { assuming small } \\
\text { motion }
\end{array} \\
& \frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =0 & \\
\text { divide by } \delta t \\
& & \text { take limit } \delta t \rightarrow 0
\end{array}
$$

## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

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\end{array} \\
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\text { take limit } \delta t \rightarrow 0
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## $I(x+u \delta t, y+v \delta t, t+\delta t)=I(x, y, t)$

## Multivariable Taylor Series Expansion

(First order approximation, two variables)

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f(x, y) \approx f(a, b)+f_{x}(a, b)(x-a)-f_{y}(a, b)(y-b)
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$$
\begin{array}{rlrl}
I(x, y, t)+\frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =I(x, y, t) & \begin{array}{l}
\text { assuming small } \\
\text { motion }
\end{array} \\
& \frac{\partial I}{\partial x} \delta x+\frac{\partial I}{\partial y} \delta y+\frac{\partial I}{\partial t} \delta t & =0 & \\
\text { divide by } \delta t \\
\text { take limit } \delta t \rightarrow 0
\end{array}
$$

$$
\frac{\partial I}{\partial x} \frac{d x}{d t}+\frac{\partial I}{\partial y} \frac{d y}{d t}+\frac{\partial I}{\partial t}=0
$$

Brightness Constancy Equation

$$
\frac{\partial I}{\partial x} \frac{d x}{d t}+\frac{\partial I}{\partial y} \frac{d y}{d t}+\frac{\partial I}{\partial t}=0
$$

$$
I_{x} u+I_{y} v+I_{t}=0 \quad \text { shorthand notation }
$$

$\nabla I^{\top} \boldsymbol{v}+I_{t}=0$
vector form

## Brightness

 Constancy Equationvect
(putting the math aside for a second...)

## What do the terms of the brightness constancy equation represent?

$$
I_{x} u+I_{y} v+I_{t}=0
$$

(putting the math aside for a second...)
What do the terms of the brightness constancy equation represent?
$I_{x} u+I_{y} v+I_{t}=0$

(at a point p)
(putting the math aside for a second...)
What do the terms of the brightness constancy equation represent?

(at a point p)
(putting the math aside for a second...)
What do the terms of the brightness constancy equation represent?


How do you compute these terms?

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
\begin{gathered}
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y} \\
\text { spatial derivative }
\end{gathered}
$$

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
\begin{gathered}
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y} \\
\text { spatial derivative }
\end{gathered}
$$

Forward difference
Sobel filter
Derivative-of-Gaussian filter

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y}
$$

$$
\begin{gathered}
I_{t}=\frac{\partial I}{\partial t} \\
\text { temporal derivative }
\end{gathered}
$$

Forward difference
Sobel filter
Derivative-of-Gaussian filter

$$
I_{x} u+I_{y} v+I_{t}=0
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How do you compute ...

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Forward difference
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$$
\begin{gathered}
I_{t}=\frac{\partial I}{\partial t} \\
\text { temporal derivative }
\end{gathered}
$$

frame differencing

## Frame differencing

| $t$ |  |  |  |  | $t+1$ |  |  |  |  | $I_{t}$ |  |  | $\frac{\partial I}{\partial t}$ |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 1 | 10 | 10 | 10 | 10 | 1 | 1 | 1 | 1 | 1 | 0 | 9 | 9 | 9 | 9 |
| 1 | 10 | 10 | 10 | 10 | 1 | 1 | 10 | 10 | 10 | 0 | 9 | 0 | 0 | 0 |
| 1 | 10 | 10 | 10 | 10 | 1 | 1 | 10 | 10 | 10 | 0 | 9 | 0 | 0 | 0 |
| 1 | 10 | 10 | 10 | 10 | 1 | 1 | 10 | 10 | 10 | 0 | 9 | 0 | 0 | 0 |

(example of a forward difference)

## Example:


$I_{x}=\frac{\partial I}{\partial x}$

$I_{y}=\frac{\partial I}{\partial y}$

-1
0
1

$$
I_{t}=\frac{\partial I}{\partial t}
$$

$$
\begin{array}{|llll|l|l|}
\hline 0 & 0 & 0 & 0 & 0 \\
\hline 0 & 0 & 0 & 0 & 0 \\
\hline 0 & \mathbf{9} & \mathbf{9} & \mathbf{9} & \mathbf{9} \\
0 & \mathbf{9} & 0 & 0 & 0 \\
\hline 0 & \mathbf{9} & 0 & 0 & 0 \\
\hline 0 & \mathbf{9} & 0 & 0 & 0 \\
\hline
\end{array}
$$

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y}
$$



How do you compute this?

$$
\begin{gathered}
I_{t}=\frac{\partial I}{\partial t} \\
\text { temporal derivative }
\end{gathered}
$$

Forward difference Sobel filter
Derivative-of-Gaussian filter

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
\begin{gathered}
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y} \\
\text { spatial derivative }
\end{gathered}
$$

Forward difference
Sobel filter
Derivative-of-Gaussian filter

$$
u=\frac{d x}{d t} \quad v=\frac{d y}{d t}
$$

We need to solve for this!
(this is the unknown in the optical flow problem)

$$
\begin{gathered}
I_{t}=\frac{\partial I}{\partial t} \\
\text { temporal derivative }
\end{gathered}
$$

frame differencing

$$
I_{x} u+I_{y} v+I_{t}=0
$$

How do you compute ...

$$
\begin{gathered}
I_{x}=\frac{\partial I}{\partial x} \quad I_{y}=\frac{\partial I}{\partial y} \\
\text { spatial derivative }
\end{gathered}
$$

Forward difference
Sobel filter
Derivative-of-Gaussian filter

$(u, v)$
Solution lies on a line

$$
\begin{gathered}
I_{t}=\frac{\partial I}{\partial t} \\
\text { temporal derivative }
\end{gathered}
$$

frame differencing

Cannot be found uniquely with a single constraint

Solution lies on a straight line

$$
I_{x} u+I_{y} v+I_{t}=0
$$

many combinations of $u$ and $v$ will satisfy the equality


The solution cannot be determined uniquely with a single constraint (a single pixel)


We need at least $\qquad$ equations to solve for 2 unknowns.


Where do we get more equations (constraints)?

## Constant flow

## Where do we get more equations (constraints)?

## $I_{x} u+I_{y} v+I_{t}=0$

Assume that the surrounding patch (say $5 \times 5$ ) has 'constant flow'

## Assumptions:

Flow is locally smooth
Neighboring pixels have same displacement
Using a $5 \times 5$ image patch, gives us equations

## Assumptions:

Flow is locally smooth
Neighboring pixels have same displacement
Using a $5 \times 5$ image patch, gives us 25 equations

$$
\begin{aligned}
I_{x}\left(\boldsymbol{p}_{1}\right) u+I_{y}\left(\boldsymbol{p}_{1}\right) v & =-I_{t}\left(\boldsymbol{p}_{1}\right) \\
I_{x}\left(\boldsymbol{p}_{2}\right) u+I_{y}\left(\boldsymbol{p}_{2}\right) v & =-I_{t}\left(\boldsymbol{p}_{2}\right)
\end{aligned}
$$

$$
I_{x}\left(\boldsymbol{p}_{25}\right) u+I_{y}\left(\boldsymbol{p}_{25}\right) v=-I_{t}\left(\boldsymbol{p}_{25}\right)
$$

Equivalent to solving:

$$
\begin{array}{cc}
A^{\top} A & \hat{x}
\end{array} c A^{\top} b .
$$

where the summation is over each pixel $\boldsymbol{p}$ in patch $\boldsymbol{P}$

$$
x=\left(A^{\top} A\right)^{-1} A^{\top} b
$$

Equivalent to solving:

$$
\begin{array}{cc}
A^{\top} A & \hat{x}
\end{array} c A^{\top} b .
$$

where the summation is over each pixel $\boldsymbol{p}$ in patch $\boldsymbol{P}$

When is this solvable?

$$
A^{\top} A \hat{x}=A^{\top} b
$$

## When is this solvable?

## $A^{\top} A \hat{x}=A^{\top} b$

## $A^{\top} A$ should be invertible

## $A^{\top} A$ should not be too small

$\lambda_{1}$ and $\lambda_{2}$ should not be too small

## $A^{\top} A$ should be well conditioned

$\lambda_{1} / \lambda_{2}$ should not be too large ( $\lambda_{1}=$ larger eigenvalue $)$

Where have you seen this before?

$$
A^{\top} A=\left[\begin{array}{cc}
\sum_{p \in P} I_{x} I_{x} & \sum_{p \in P} I_{x} I_{y} \\
\sum_{p \in P} I_{y} I_{x} & \sum_{p \in P} I_{y} I_{y}
\end{array}\right]
$$

Where have you seen this before?

$$
A^{\top} A=\left[\begin{array}{cc}
\sum_{p \in P} I_{x} I_{x} & \sum_{p \in P} I_{x} I_{y} \\
\sum_{p \in P} I_{y} I_{x} & \sum_{p \in P} I_{y} I_{y}
\end{array}\right]
$$

Harris Corner Detector!

Where have you seen this before?

$$
A^{\top} A=\left[\begin{array}{cc}
\sum_{p \in P} I_{x} I_{x} & \sum_{p \in P} I_{x} I_{y} \\
\sum_{p \in P} I_{y} I_{x} & \sum_{p \in P} I_{y} I_{y}
\end{array}\right]
$$

Harris Corner Detector!

What are the implications?

## Implications

- Corners are when $\lambda 1, \lambda 2$ are big; this is also when Lucas-Kanade optical flow works best
- Corners are regions with two different directions of gradient (at least)
- Corners are good places to compute flow!

You want to compute optical flow. What happens if the image patch contains only a line?

## Barber's pole illusion



## Barber's pole illusion

Barber's pole illusion


## Aperture Problem



In which direction is the line moving?

## Aperture Problem



In which direction is the line moving?

## Aperture Problem



## Aperture Problem

## Aperture Problem



## Aperture Problem




Want patches with different gradients to the avoid aperture problem


Want patches with different gradients to the avoid aperture problem

$$
\begin{aligned}
& H(x, y)=y \\
& \text { I(x,y) }
\end{aligned}
$$

$$
\begin{aligned}
& I_{x} u+I_{y} v+I_{t}=0
\end{aligned}
$$

Compute gradients
Solution:

$$
\begin{aligned}
& I_{x}(3,3)=0 \\
& I_{y}(3,3)=1 \\
& I_{t}(3,3)=I(3,3)-H(3,3)=-1
\end{aligned}
$$

We recover the v of the optical flow but not the $u$. This is the aperture problem.

## Horn-Schunck optical flow

## Horn-Schunck Optical Flow (1981)

## Lucas-Kanade Optical Flow (1981)

## ‘smooth’ flow

(flow can vary from pixel to pixel)
global method
(dense)
'constant’ flow
(flow is constant for all pixels)
local method
(sparse)

## Smoothness

## most objects in the world are rigid or deform elastically moving together coherently

we expect optical flow fields to be smooth

# Key idea <br> (of Horn-Schunck optical flow) 

# Enforce brightness constancy 

Enforce smooth flow field

to compute optical flow

# Key idea <br> (of Horn-Schunck optical flow) 

## Enforce brightness constancy

## Enforce smooth flow field

to compute optical flow

# Enforce brightness constancy 

$$
I_{x} u+I_{y} v+I_{t}=0
$$

For every pixel,

$$
\min _{u, v}\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}
$$

# Enforce brightness constancy 

$$
I_{x} u+I_{y} v+I_{t}=0
$$

For every pixel,


# Key idea <br> (of Horn-Schunck optical flow) 

## Enforce brightness constancy

## Enforce smooth flow field

to compute optical flow

## Enforce smooth flow field


u-component of flow

Which flow field optimizes the objective?

$$
\min _{\boldsymbol{u}}\left(u_{i, j}-u_{i+1, j}\right)^{2}
$$



$$
\sum_{i j}\left(u_{i j}-u_{i+1, j}\right)^{2}
$$

?
$\sum_{i j}\left(u_{i j}-u_{i+1, j}\right)^{2}$

Which flow field optimizes the objective? $\underset{\boldsymbol{u}}{\min }\left(u_{i, j}-u_{i+1, j}\right)^{2}$

big

small

# Key idea <br> (of Horn-Schunck optical flow) 

# Enforce brightness constancy 

Enforce smooth flow field

to compute optical flow
bringing it all together...

## Horn-Schunck optical flow

## 

## HS optical flow objective function

## Brightness constancy <br> $$
E_{d}(i, j)=\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}
$$

## Smoothness

$$
E_{s}(i, j)=\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]
$$






## How do we solve this minimization problem?

$$
\min _{\boldsymbol{u}, \boldsymbol{v}} \sum_{i, j}\left\{E_{s}(i, j)+\lambda E_{d}(i, j)\right\}
$$

## How do we solve this minimization problem?

$$
\min _{\boldsymbol{u}, \boldsymbol{v}} \sum_{i, j}\left\{E_{s}(i, j)+\lambda E_{d}(i, j)\right\}
$$

Compute partial derivative, derive update equations (gradient decent!)

## Compute the partial derivatives of this huge sum!

$$
\sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\}
$$

## Compute the partial derivatives of this huge sum!

$$
\sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\}
$$

it's not so bad...

$$
\frac{\partial E}{\partial u_{k l}}=
$$

how many u terms depend on $k$ and l?

## Compute the partial derivatives of this huge sum!

$$
\sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\}
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$$

it's not so bad...

$$
\frac{\partial E}{\partial u_{k l}}=2\left(u_{k l}-\bar{u}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{x}
$$

how many u terms depend on $k$ and l?

## Compute the partial derivatives of this huge sum!

$$
\begin{aligned}
& \sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\} \\
& \left(u_{i j}^{2}-2 u_{i j} u_{i+1, j}+u_{i+1, j}^{2}\right) \quad\left(u_{i j}^{2}-2 u_{i j} u_{i, j+1}+u_{i, j+1}^{2}\right) \\
& \text { (variable will appear four times in sum) }
\end{aligned}
$$

## Compute the partial derivatives of this huge sum!

$$
\begin{aligned}
& \sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\} \\
& \\
& \text { (variable will appear four times in sum) } \\
& \left(u_{i j}^{2}-2 u_{i j} u_{i+1, j}+u_{i+1, j}^{2}\right) \\
& \left(u_{i j}^{2}-2 u_{i j} u_{i, j+1}+u_{i, j+1}^{2}\right)
\end{aligned}
$$

$$
\begin{aligned}
\frac{\partial E}{\partial u_{k l}} & =2\left(u_{k l}-\bar{u}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{x} \\
\frac{\partial E}{\partial v_{k l}} & =2\left(v_{k l}-\bar{v}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{y}
\end{aligned}
$$

$$
\bar{u}_{i j}=\frac{1}{4}\left\{u_{i+1, j}+u_{i-1, j}+u_{i, j+1}+u_{i, j-1}\right\}
$$

$$
\begin{aligned}
\frac{\partial E}{\partial u_{k l}} & =2\left(u_{k l}-\bar{u}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{x} \\
\frac{\partial E}{\partial v_{k l}} & =2\left(v_{k l}-\bar{v}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{y}
\end{aligned}
$$

Where are the extrema of $E$ ?

$$
\begin{aligned}
\frac{\partial E}{\partial u_{k l}} & =2\left(u_{k l}-\bar{u}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{x} \\
\frac{\partial E}{\partial v_{k l}} & =2\left(v_{k l}-\bar{v}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{y}
\end{aligned}
$$

## Where are the extrema of $E$ ?

(set derivatives to zero and solve for unknowns u and v)

$$
\begin{aligned}
& \left(1+\lambda I_{x}^{2}\right) u_{k l}+\lambda I_{x} I_{y} v_{k l}=\bar{u}_{k l}-\lambda I_{x} I_{t} \\
& \lambda I_{x} I_{y} u_{k l}+\left(1+\lambda I_{y}^{2}\right) v_{k l}=\bar{v}_{k l}-\lambda I_{y} I_{t}
\end{aligned}
$$

$$
\begin{aligned}
\frac{\partial E}{\partial u_{k l}} & =2\left(u_{k l}-\bar{u}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{x} \\
\frac{\partial E}{\partial v_{k l}} & =2\left(v_{k l}-\bar{v}_{k l}\right)+2 \lambda\left(I_{x} u_{k l}+I_{y} v_{k l}+I_{t}\right) I_{y}
\end{aligned}
$$

## Where are the extrema of $E$ ?

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& \lambda I_{x} I_{y} u_{k l}+\left(1+\lambda I_{y}^{2}\right) v_{k l}=\bar{v}_{k l}-\lambda I_{y} I_{t}
\end{aligned}
$$

$\boldsymbol{A} \boldsymbol{x}=\boldsymbol{b}$ how do you solve this?

$$
\begin{aligned}
& \left(1+\lambda I_{x}^{2}\right) u_{k l}+\lambda I_{x} I_{y} v_{k l}=\bar{u}_{k l}-\lambda I_{x} I_{t} \\
& \lambda I_{x} I_{y} u_{k l}+\left(1+\lambda I_{y}^{2}\right) v_{k l}=\bar{v}_{k l}-\lambda I_{y} I_{t}
\end{aligned}
$$

$$
\text { Recall } \boldsymbol{x}=\mathbf{A}^{-1} \boldsymbol{b}=\frac{\operatorname{adj} \mathbf{A}}{\operatorname{det} \mathbf{A}} \boldsymbol{b}
$$

$$
\begin{aligned}
& \left(1+\lambda I_{x}^{2}\right) u_{k l}+\lambda I_{x} I_{y} v_{k l}=\bar{u}_{k l}-\lambda I_{x} I_{t} \\
& \lambda I_{x} I_{y} u_{k l}+\left(1+\lambda I_{y}^{2}\right) v_{k l}=\bar{v}_{k l}-\lambda I_{y} I_{t}
\end{aligned}
$$

## Recall $\boldsymbol{x}=\mathbf{A}^{-1} \boldsymbol{b}=\frac{\operatorname{adj} \mathbf{A}}{\operatorname{det} \mathbf{A}} \boldsymbol{b}$

Same as the linear system:

$$
\begin{aligned}
& \left\{1+\lambda\left(I_{x}^{2}+I_{y}^{2}\right)\right\} u_{k l}=\left(1+\lambda I_{y}^{2}\right) \bar{u}_{k l}-\lambda I_{x} I_{y} \bar{v}_{k l}-\lambda I_{x} I_{t} \\
& \left\{1+\underset{(\operatorname{det~A)}}{\left.\lambda\left(I_{x}^{2}+I_{y}^{2}\right)\right\} v_{k l}=\left(1+\lambda I_{x}^{2}\right) \bar{v}_{k l}-\lambda I_{x} I_{y} \bar{u}_{k l}-\lambda I_{y} I_{t}}\right.
\end{aligned}
$$

$$
\begin{aligned}
& \left\{1+\lambda\left(I_{x}^{2}+I_{y}^{2}\right)\right\} u_{k l}=\left(1+\lambda I_{y}^{2}\right) \bar{u}_{k l}-\lambda I_{x} I_{y} \bar{v}_{k l}-\lambda I_{x} I_{t} \\
& \left\{1+\lambda\left(I_{x}^{2}+I_{y}^{2}\right)\right\} v_{k l}=\left(1+\lambda I_{x}^{2}\right) \bar{v}_{k l}-\lambda I_{x} I_{y} \bar{u}_{k l}-\lambda I_{y} I_{t}
\end{aligned}
$$

Rearrange to get update equations:

$$
\begin{aligned}
& \hat{u}_{k l}=\bar{u}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{x} \\
& \text { vavereage } \\
& \hat{v}_{k l}=\bar{v}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{y}
\end{aligned}
$$

Recall|: $\min _{\boldsymbol{u}, \boldsymbol{v}} \sum_{i, j}\left\{E_{s}(i, j)+\lambda E_{d}(i, j)\right\}$

When lambda is small (lambda inverse is big)...

$$
\begin{gathered}
\hat{u}_{k l}=\bar{u}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{x} \\
\text { valde } \\
\hat{v}_{k l}=\bar{v}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{y}
\end{gathered}
$$

Recall: $\min _{\boldsymbol{u}, \boldsymbol{v}} \sum_{i, j}\left\{E_{s}(i, j)+\lambda E_{d}(i, j)\right\}$

When lambda is small (lambda inverse is big)...

$$
\begin{aligned}
& \hat{v}_{k l}=\bar{v}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda-1+I_{x}^{2}+I_{y}^{2}} I_{y}^{\text {geasio }}
\end{aligned}
$$

Recall: $\min _{\boldsymbol{u}, \boldsymbol{v}} \sum_{i, j}\left\{E_{s}(i, j)+\lambda E_{d}(i, j)\right\}$

When lambda is small (lambda inverse is big)...

$$
\begin{aligned}
& \hat{u}_{k l}=\bar{u}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda{ }^{\text {ode }}} \underset{\substack{1 \\
\text { vawe } \\
\text { vacease }}}{-I_{x}^{2}+I_{y}^{2}} \vec{I}_{x}^{\text {goestio }} \\
& \hat{v}_{k l}=\bar{v}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda-1+I_{x}^{2}+I_{y}^{2}} I_{y}
\end{aligned}
$$

...we only care about smoothness.
ok, take a step back, why did we do all this math?

## We are solving for the optical flow (u,v) given two constraints

$$
\begin{gathered}
\sum_{i j}\left\{\frac{1}{4}\left[\left(u_{i j}-u_{i+1, j}\right)^{2}+\left(u_{i j}-u_{i, j+1}\right)^{2}+\left(v_{i j}-v_{i+1, j}\right)^{2}+\left(v_{i j}-v_{i, j+1}\right)^{2}\right]+\lambda\left[I_{x} u_{i j}+I_{y} v_{i j}+I_{t}\right]^{2}\right\} \\
\text { smoothness } \\
\text { brightness constancy }
\end{gathered}
$$

We needed the math to minimize this (now to the algorithm)

## Horn-Schunck <br> Optical Flow Algorithm

1. Precompute image gradients

$$
I_{y} \quad I_{x}
$$

2. Precompute temporal gradients

$$
I_{t}
$$

3. Initialize flow field

$$
\begin{aligned}
\boldsymbol{u} & =\mathbf{0} \\
\boldsymbol{v} & =\mathbf{0}
\end{aligned}
$$

4. While not converged

Compute flow field updates for each pixel:

$$
\hat{u}_{k l}=\bar{u}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{x} \quad \hat{v}_{k l}=\bar{v}_{k l}-\frac{I_{x} \bar{u}_{k l}+I_{y} \bar{v}_{k l}+I_{t}}{\lambda^{-1}+I_{x}^{2}+I_{y}^{2}} I_{y}
$$

Just 8 lines of code!

